### CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)

Docket No.

31162X Submit an original, and a duplicate for fee processing. (Check box if applicable) DUPLICATE (Only for Continuation or Divisional Applications Under 37 CFR 1.53(d)) First Named Inventor Group/Art Unit Examiner tavo C. Rodriguez J. Goldberg Address to: **Assistant Commissioner for Patents Box CPA** Washington, D.C. 20231 This is a request for filing a 🛛 continuation or 🔲 divisional application under 37 CFR 1.53(d), (continued application (CPA)) of prior application number 09/528,963 filed on March 21, 2000 and entitled: PREVENTION OF OVARIAN CANCER BY ADMINISTRATION OF PRODUCTS THAT INDUCE TRANSFORM GROWTH FACTOR-BETA AND/OR APOPTOSIS IN THE OVARIAN EPITHELIUM Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior 1. 🔲 nonprovisional application. 2. 🔲 A preliminary amendment is enclosed. This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4). 3. 🗌 a. DELETE the following inventor(s) named in the prior nonprovisional application: b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto. 4. 🔲 A new power of attorney or authorization of agent is enclosed. 5. 🗵 Small Entity Status: A small entity statement is enclosed. A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired. The fee for this application is calculated as follows: 6. X **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee \$54.00 \$9.00 **Total Claims** 26 -20 =6 \$0.00 0 \$42.00 Indep. Claims 3 -3 =\$0.00 Multiple D p ndent Claims (check if applicable) 02/44/2003 DTESSEM1 00000001 09528963 **BASIC FEE** \$375.00

375.00 UP

54.00 DP

C:2006

**TOTAL FILING FEE** 

\$429.00

# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity) (Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

7. 🗵	The Commissioner is hereby authorized to credit overpayments or charge the following fees to
	Deposit Account No. 18-1942
	<ul> <li>✓ fees required under 37 C.F.R. 1.16.</li> <li>✓ fees required under 37 C.F.R. 1.17.</li> <li>☐ fees required under 37 C.F.R. 1.18.</li> </ul>
8. 🗵	A check in the amount of \$429.00 is enclosed.
9. 🗌	An Information Disclosure Statement (IDS) is enclosed:
	a. 🗋 PTO-1449
	b.    Copies of IDS Citations
10. 🛮	Also enclosed:
	Petition for Extension of Time Under 37 CFR 1.136(a); Check in the amount of \$465.00; Acknowledgement Postcard
11. 🗵	The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity) (Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

#### **NOTES**

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**FILING QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

⊭ated:	February 18, 2003	MMM Signature		
		Raymond N. Nimrod		
		Typed or printed name		
		Reg. No. 31,987		
		Registration Number (if applicable)		
		☐ Inventor(s)		
		☐ Assignee of complete interest		
c:		☑ Attorney or agent of record		
-				

	MAILING BY "EXPRESSIPATIVE" (37 CFR 1.10)	Docket No.			
Applicant(s): Gustavo C	. Rodriguez	31162X			
Serial No. To be assigned	Filing Date FEB 1 8 2003 Examiner  To be assigned J. Goldberg	Group Art Unit 1614			
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	ON OF OVARIAN CANCER BY ADMINISTRATION OF PROD MING CROWTH FACTOR-BETA AND/OR APOPTOSIS IN TE				
I hereby certify that the	e following correspondence:				
Continued Prosecution Application (CPA) Request Transmittal (Small Entity); Check in the amount of \$429.00; Petition for Extension of Time Under 37 CFR 1.136(a); Check in the amount of \$465.00; Acknowledgement postcard					
(Identify type of correspondence)					
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service und					
37 CFR 1.10 in an env	velope addressed to: The Assistant Commissioner for Patents, W	Vashington, D.C. 20231 on			
February 18, 2003 (Date)					
(Typed or Printed Name of Person Mailing Correspondence)					
	(Signature of Person Mailing	Correspondence)			
	EL251586174	4US			
("Express Mail" Mailing Label Number)					
Note: Each paper must have its own certificate of mailing.					
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